

B.B.A. (2010 COURSE) SEM- V : WINTER - 2017
SUBJECT: ELECTIVE – II: CAPITAL MARKET OPERATIONS
(Financial Market)

Day: **Thursday**
Date: **16/11/2017**

W-2017-1585

Time: **02.00 PM TO 05.00 PM**
Max. Marks: **70**

N.B.;

- 1) **Q. No. 1 is COMPULSORY.**
- 2) Attempt any **FOUR** questions from **Q. No. 2 to Q. No. 7.**
- 3) Each question carries **FOURTEEN** marks.
- 4) Use of non-programmable scientific **CALCULATOR** is allowed.
- 5) Graph papers and statistical tables will be provided if necessary.

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- Q.1** Discuss the concept and importance of RETDEBT market (RDM).
- Q.2** Explain Money Laundering Act, 2002 with examples.
- Q.3** Comment the role of secondary market in the economy.
- Q.4** Explain the following concepts:
- a) File transfer protocol
 - b) Trade management
- Q.5** Explain in detail settlement process and settlement agencies.
- Q.6** Explain the provisions of SEBI (Securities and Exchange Board of India) Act, 1992.
- Q.7** Write short notes on **any TWO** of the following:
- a) Corporate Hierarchy
 - b) NEAT System
 - c) Risk in Settlement

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